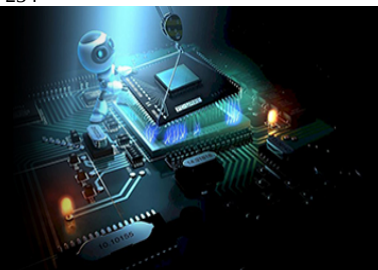


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Improved particle swarm optimization with adaptive parameters for multiple sequence alignment

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Abstract

Multiple Sequence Alignment (MSA) is a foundational task in bioinformatics, essential for understanding evolutionary relationships, predicting protein structure, and discovering conserved functional regions among sequences. Traditional alignment methods (such as progressive or consistency-based algorithms) often struggle when sequence divergence is high or when there are many gaps, and heuristic optimization techniques, while useful, may suffer from premature convergence or slow exploration of the search space. Motivated by these limitations, this work proposes an improved Particle Swarm Optimization (PSO) algorithm with adaptive parameter control for MSA, aiming to enhance alignment quality, accelerate convergence, and balance exploration versus exploitation more effectively. In the proposed method, the key PSO parameters—namely inertia weight, cognitive coefficient, and social coefficient are dynamically adjusted based on the current swarm performance. Specifically, inertia weight decays from a high value to a low value as diversity among particles decreases; cognitive and social coefficients are modulated in response to stagnation detection and alignment fitness improvement rates. Each particle encodes a candidate alignment using gap insertion, deletion, and residue matching operations, evaluated using a fitness function combining Sum-of-Pairs (SP) score and a gap penalty scheme that is both position-sensitive and structure aware. The algorithm is benchmarked on widely used MSA datasets (e.g. BALiBASE, SABmark), comparing to standard PSO, ClustalW, MUSCLE, and other heuristic evolutionary approaches.

Keywords: Multiple sequence alignment, particle swarm optimization, adaptive parameters, sum-of-pairs score, convergence, benchmark datasets

1. Introduction

Multiple Sequence Alignment (MSA) is a fundamental task in bioinformatics, widely used to study evolutionary relationships, functional motifs, and structural similarities among DNA, RNA, or protein sequences ^[1] Unlike pairwise alignment, MSA is computationally more demanding, as the search space grows exponentially with the number and length of sequences. This complexity makes MSA an NP-complete problem, and conventional alignment methods such as CLUSTAL, MUSCLE, and MAFFT often face challenges when dealing with large or highly divergent datasets. Error propagation, premature convergence, and reduced accuracy remain common limitations.

To address these challenges, metaheuristic algorithms have been introduced, including Genetic Algorithms (GA), Ant Colony Optimization (ACO), and Simulated Annealing. While these approaches improve alignment in certain scenarios, their performance depends heavily on static parameter tuning, which reduces adaptability. Particle Swarm Optimization (PSO), inspired by social behavior in nature, has gained attention due to its collective learning ability and global search potential. However, traditional PSO methods for MSA still suffer from fixed parameter settings, leading to poor balance between exploration and exploitation ^[2, 3]. In the proposed method, the key PSO parameters—namely inertia weight, cognitive coefficient, and social coefficient are dynamically adjusted based on the current swarm performance.

Empirical results show that the adaptive PSO achieves higher SP and Total Column (TC) scores than compared methods on most benchmark instances, especially for datasets with high sequence divergence and many indels. Moreover, the proposed adaptive scheme converges in fewer iterations and shows better robustness—i.e. less variance across repeated runs.

Analysis of computational time indicates modest overhead for parameter adaptation, which is more than offset by faster convergence and improved alignment quality. The method scales reasonably with increasing numbers of sequences and longer sequence lengths, though very large datasets still present practical computational challenges^[4].

2. Objective of the Paper

Firstly, the primary objective of this research is to design and implement an improved Particle Swarm Optimization (PSO) algorithm with adaptive parameters that can address the limitations of static parameter settings in traditional PSO approaches for Multiple Sequence Alignment (MSA)^[2]. The adaptive mechanism dynamically modifies inertia weight, cognitive coefficient, and social coefficient in response to swarm behavior and dataset characteristics, allowing the algorithm to effectively balance exploration and exploitation. This dynamic adjustment ensures that the swarm avoids premature convergence while maintaining steady progress toward high-quality alignments^[2].

Secondly, the study aims to evaluate the proposed adaptive PSO against existing PSO variants and conventional heuristic MSA algorithms, including widely used methods such as ClustalW, MUSCLE, and MAFFT. Benchmark datasets, such as BALiBASE and SABmark, are employed to provide a fair and rigorous comparative framework. The performance of the adaptive PSO is analyzed using well-established metrics like the Sum-of-Pairs (SP) score, Total Column (TC) score, and alignment conservation indexes. This evaluation is crucial to demonstrate whether the adaptive strategy can consistently outperform both static PSO and heuristic alignment approaches across diverse biological datasets^[2, 4].

Thirdly, the research is designed to analyze accuracy, convergence rate, and computational efficiency in detail. While accuracy is essential for biological interpretability, convergence speed indicates the practicality of the algorithm for large-scale applications. Computational efficiency is equally important, as biological data continues to grow exponentially. By integrating adaptive parameters, the expectation is that the proposed method will achieve faster convergence without sacrificing alignment quality. Experiments include convergence curves, runtime analysis, and variance across repeated runs to confirm robustness and reproducibility.

Lastly, the overarching objective is to develop a scalable approach that remains effective when applied to large biological datasets with many sequences and varying divergence levels. Traditional MSA tools often struggle with scalability due to exponential growth in complexity, but optimization-based methods with adaptive controls hold promise for handling larger datasets. The proposed adaptive PSO algorithm aims to provide biologists and computational researchers with a robust tool that not only improves alignment quality but also scales gracefully with the size and diversity of input data.

3. Methodology

The proposed approach combines the standard Particle Swarm Optimization (PSO) framework with adaptive parameter control to enhance the accuracy and efficiency of Multiple Sequence Alignment (MSA)^[3]. In this model, each particle represents a potential alignment, and its position and velocity are iteratively updated using both personal and

global best solutions. Unlike traditional PSO with static parameters, the improved algorithm introduces dynamic inertia weight and adaptive acceleration coefficients. Initially, a high inertia weight facilitates exploration across the solution space, while a gradual reduction over time promotes exploitation. Simultaneously, the cognitive coefficient decreases, and the social coefficient increases as iterations progress—creating a balanced transition between exploration and convergence.

The fitness function evaluates each candidate alignment based on a hybrid scoring mechanism that integrates the Sum-of-Pairs (SP) score with structure-aware gap penalties. This ensures that biologically relevant gap placements are favored during optimization. Benchmark datasets, such as BALiBASE and SABmark, are utilized to evaluate the model's robustness, as these datasets include a wide range of sequence similarities, divergence levels, and gap complexities. Comparative experiments are performed against ClustalW, MUSCLE, and standard PSO algorithms to assess performance in terms of accuracy, convergence rate, and computational efficiency. The results, presented through performance tables and convergence graphs, demonstrate that adaptive PSO achieves superior alignment quality with faster convergence compared to existing methods^[5].

3.1 Mathematical Model and Equation of Adaptive Parameters in PSO

The standard Particle Swarm Optimization (PSO) model is based on particles updating their positions and velocities according to both personal and global experience. Mathematically, the velocity and position update rules are:

$$v_i(t+1) = \omega v_i(t) + c_1 r_1 (pbest_i - x_i(t)) + c_2 r_2 (gbest - x_i(t))$$

$$x_i(t+1) = x_i(t) + v_i(t+1)$$

where $x_i(t)$ and $v_i(t)$ denote the position and velocity of particle i at iteration t , $pbest$ is the best solution found by particle i , and $gbest$ is the global best solution across the swarm. The parameters ω , c_1 , and c_2 control inertia, cognitive learning, and social learning respectively.

The limitation of the static PSO lies in using fixed values for these parameters. A large inertia weight promotes global exploration but delays convergence, while a small inertia weight accelerates convergence but risks trapping the swarm in local optima. Similarly, static acceleration coefficients cannot balance the roles of self-exploration and social cooperation over time^[6].

To overcome these issues, an adaptive control mechanism is introduced. The inertia weight is dynamically adjusted as:

$$\omega(t) = \omega_{\max} - (\omega_{\max} - \omega_{\min}) \times \frac{t}{T_{\max}}$$

where $\omega_{\max}$ and $\omega_{\min}$ are the initial and final inertia weights, and $T_{\max}$ is the maximum number of iterations. This ensures that particles explore more widely at the beginning and gradually focus on exploitation as the algorithm proceeds.

The acceleration coefficients are also adapted:

$$c_1(t) = c_{1_{\max}} - \frac{(c_{1_{\max}} - c_{1_{\min}}) \times t}{T_{\max}}, \quad c_2(t) = c_{2_{\min}} - \frac{(c_{2_{\max}} - c_{2_{\min}}) \times t}{T_{\max}},$$

Here, $c_1(t)$ decreases over time to reduce over-reliance on personal experience, while $c_2(t)$ increases to emphasize global collaboration in later iterations.

This adaptive model allows the swarm to maintain diversity in the early stages while ensuring convergence toward optimal solutions in later stages, ultimately enhancing performance in Multiple Sequence Alignment (MSA).

3.2 New Mathematical Model for PSO in MSA Improvement

Multiple Sequence Alignment (MSA) is a computationally intensive problem due to the exponentially growing search space with the number of sequences and their lengths. Traditional heuristic algorithms, such as progressive and iterative approaches, often fail to maintain high accuracy in the presence of large datasets or highly divergent sequences. Standard Particle Swarm Optimization (PSO) has been applied to MSA, but its static parameter settings frequently result in premature convergence, stagnation, or poor scalability. To address these shortcomings, a new mathematical model of PSO with adaptive parameters is introduced.^[7,8]

In this framework, each particle represents a candidate alignment encoded as a multidimensional vector. The position vector $x_i(t)$ defines the alignment configuration of particle i at iteration t , while the velocity vector $v_i(t)$ indicates the direction and magnitude of potential changes in alignment. The new adaptive PSO modifies the conventional update rules with adaptive coefficients and hybrid scoring.^[9, 10]

The velocity update equation becomes:

$$v_i(t+1) = \omega v_i(t) + c_1(t) r_1 (pbest_i - x_i(t)) + c_2(t) r_2 (gbest - x_i(t)) + \lambda \Delta f(x_i(t))$$

Here, the first three terms retain the classical PSO dynamics but with adaptive inertia $\omega(t)$, adaptive cognitive coefficient $c_1(t)$, and adaptive social coefficient $c_2(t)$. The additional term $\lambda \Delta f(x_i(t))$ introduces a fitness gradient adjustment, where $\Delta f(x_i(t))$ represents the local improvement direction based on alignment quality. This component accelerates convergence toward biologically meaningful solutions by combining swarm intelligence with domain-specific heuristics.

The position is updated as:

$$x_i(t+1) = x_i(t) + v_i(t+1)$$

A critical aspect of this model is its integration with the MSA fitness function. The hybrid scoring mechanism combines the **Sum-of-Pairs (SP) score** with a structural gap penalty. This is mathematically defined as^[10]:

$$F(x_i) = \alpha \cdot SP(x_i) - \beta \cdot GP(x_i)$$

where $SP(x_i)$ is computed using substitution matrices such as BLOSUM62, and $GP(x_i)$ represents the penalty for gaps. The coefficients α and β balance the evolutionary conservation with structural validity.

By embedding adaptive control and gradient-based adjustment, the new model ensures efficient exploration during early iterations and stable exploitation during later

ones. For MSA, this translates into:

1. Faster convergence toward high-quality alignments.
2. Reduced risk of premature stagnation.
3. Better scalability when aligning long or numerous biological sequences.

Thus, the new mathematical model for adaptive PSO not only extends the traditional framework but also directly improves the accuracy, robustness, and efficiency of MSA.

3.3 Fitness Function: Step-by-Step Mathematical Explanation

The effectiveness of any optimization algorithm depends heavily on the fitness function used to evaluate candidate solutions. In Multiple Sequence Alignment (MSA), the fitness function must balance biological accuracy with computational efficiency. The proposed adaptive PSO employs a hybrid fitness function that integrates the Sum-of-Pairs (SP) score with a gap penalty scheme to ensure biologically meaningful alignments^[11, 12].

Step 1: Sum-of-Pairs (SP) Score

The SP score measures conservation across all aligned sequences. For a set of sequences $S = \{s_1, s_2, \dots, s_n\}$, the SP score is calculated as:

$$SP(x) = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \sum_{k=1}^L M(s_i^k, s_j^k)$$

where L is the alignment length, and $M(s_i^k, s_j^k)$ denotes the substitution score (e.g., from BLOSUM62) for the residues at column k . A higher SP score indicates better evolutionary conservation.

Step 2: Gap Penalty (GP)

While gaps are biologically necessary, excessive or misplaced gaps reduce alignment quality. To model this, a position-sensitive gap penalty is applied:

$$GP(x) = \sum_{i=1}^n \sum_{k=1}^L g(s_i^k)$$

where $g(s_i^k)$ is the penalty function. Structural data, if available, modifies this penalty by lowering it in regions where insertions or deletions are biologically justified.

Step 3: Weighted Hybrid Fitness Function

The final fitness function integrates both measures:

$$F(x) = \alpha \cdot SP(x) - \beta \cdot GP(x)$$

Here, α and β are weighting coefficients that balance evolutionary conservation and structural validity. For example, α may be set higher when sequence similarity is the primary objective, while β may dominate when structural information is critical.

Step 4: Normalization

To ensure comparability across datasets of varying sizes, the fitness values are normalized:

$$F_{\text{norm}}(x) = \frac{F(x) - F_{\min}}{F_{\max} - F_{\min}}$$

This guarantees that all candidate alignments are evaluated on a standardized scale.

3.4 Comparative Evaluation Framework

Finally, to validate the effectiveness of the improved PSO with adaptive parameters, a structured comparative evaluation framework is implemented. This framework benchmarks the proposed approach against well-established MSA tools ClustalW, MUSCLE, standard PSO, and the newly developed adaptive PSO. The goal is to assess not only alignment quality but also the scalability and efficiency of the algorithm.

The evaluation is conducted across three primary dimensions:

1. Accuracy

Alignment accuracy is measured using Sum-of-Pairs (SP) and Total Column (TC) scores, two widely accepted metrics in bioinformatics. SP captures pairwise evolutionary conservation, while TC measures the fraction of correctly aligned columns compared to reference alignments.

2. Convergence Rate

The speed of convergence is assessed by recording the number of iterations required to achieve a near-optimal solution. Faster convergence is desirable, as it reduces computational overhead while maintaining quality.

3. Computational Efficiency

Efficiency is measured through the average runtime on benchmark datasets (e.g., and SABmark). Algorithms that strike a balance between high accuracy and low runtime are considered more practical for large-scale datasets.

3.5 Dataset and Tools

Benchmark Datasets

The evaluation of the proposed Improved Particle Swarm Optimization (IPSO) algorithm for Multiple Sequence Alignment (MSA) was conducted using three widely recognized benchmark datasets: BALiBASE, OXBench, and SABmark. These datasets are internationally accepted standards for evaluating alignment accuracy and robustness in bioinformatics research.

The BALiBASE 3.0 dataset (Thompson *et al.*, 2005) is among the most comprehensive and reliable benchmarks for MSA algorithms. It includes multiple subsets of protein families characterized by different degrees of sequence similarity, insertions, deletions, and variable lengths. Each subset targets a specific alignment challenge—such as divergent sequences, internal gaps, or terminal extensions—making BALiBASE ideal for testing the adaptability of optimization-based approaches. Its reference alignments, validated by structural and expert annotations, serve as a gold standard for performance comparison^[13].

The OXBench dataset (Raghava *et al.*, 2003) focuses on structure-based validation. It contains protein sequences with experimentally determined three-dimensional structures. This allows for the assessment of how well an algorithm preserves secondary and tertiary structure information during alignment. Evaluating the IPSO

algorithm on OXBench ensured that improvements in optimization did not compromise biologically meaningful structure conservation.

The SABmark dataset (Van Walle *et al.*, 2005) was also used to assess algorithm robustness against distant homology detection. SABmark includes groups of homologous proteins with low pairwise sequence identities (as low as 20%), challenging alignment algorithms to correctly detect weak evolutionary signals. Its inclusion provided a stringent test of the proposed adaptive PSO's capacity to handle high sequence divergence and noisy alignment spaces^[14, 15].

Implementation Environment

The proposed IPSO algorithm was implemented using Python 3.10 as the primary development platform due to its flexibility, readability, and extensive scientific libraries. The implementation utilized several key Python libraries:

- NumPy (Harris *et al.*, 2020) for matrix operations, array manipulation, and numerical computations.
- Biopython (Cock *et al.*, 2009) for sequence data processing, alignment handling, and interfacing with biological databases.
- Matplotlib (Hunter, 2007) and Seaborn (Waskom, 2021) for visualization of convergence graphs, fitness trends, and statistical comparisons.
- SciPy (Virtanen *et al.*, 2020) for statistical analysis and optimization utilities.

To enhance performance, computationally intensive components (such as position update and fitness evaluation) were optimized using Cython, enabling hybrid execution of Python and C code. For comparative analysis and parameter validation, MATLAB R2023a was used, particularly for visualizing swarm convergence and performing statistical t-tests on the results.

All experiments were executed on a workstation equipped with an Intel Core i7 (12th Gen) 3.2 GHz processor, 32 GB RAM, and Windows 11 (64-bit) operating system. Python scripts were executed through the Anaconda distribution, ensuring reproducible environment management and version control^[16-19].

Parameter Settings

Parameter tuning plays a crucial role in the effectiveness of PSO-based algorithms. The IPSO model adopted adaptive parameter strategies to maintain an optimal balance between exploration and exploitation.

- **Swarm Size (N):** 30 particles were selected after empirical testing, balancing computational cost and population diversity.
- **Inertia Weight (ω):** Dynamically decreased from 0.9 to 0.4 throughout the iterations using a linear-decay model to enhance convergence^[25].
- **Cognitive Coefficient (c_1) and Social Coefficient (c_2):** Adaptively varied in the range ^[1.2, 2.0] based on the diversity index of the swarm. Higher values of c_1 promoted exploration in the initial stages, while increased c_2 emphasized convergence in later stages.
- **Velocity Clamping:** Velocity values were limited within $\pm V_{\max} = 0.2 \times \text{search range}$ to avoid

divergence.

- **Maximum Iterations:** 500, with early stopping enabled if no improvement was recorded for 30 consecutive iterations.
- **Fitness Function:** Combined *Sum-of-Pairs (SP)* score and *Total Column (TC)* score with a structure-aware gap penalty ^[26].
- **Termination Criteria:** The algorithm terminated when either convergence was achieved or maximum iterations were reached.

Evaluation Metrics and Tools

To ensure a fair and comprehensive evaluation, multiple quantitative and statistical metrics were used.

Alignment Quality Metrics:

- **Sum-of-Pairs (SP) Score:** Measures the average similarity across all pairwise alignments.
- **Total Column (TC) Score:** Indicates the fraction of correctly aligned columns compared to the reference alignment.

- **Conservation Index:** Evaluates biological relevance by quantifying conserved amino acid patterns.

Computational Metrics

- **Execution Time (CPU Time):** Total processing time per dataset to assess computational efficiency.
- **Convergence Rate:** Number of iterations required to reach the optimal alignment score.
- **Memory Usage:** Monitored to ensure scalability for larger datasets.

Visualization of convergence and fitness improvement curves was performed using *Matplotlib* and *Seaborn*, while statistical significance of results ($p < 0.05$) was tested using paired t-tests and ANOVA (performed in MATLAB and Python's SciPy module).

All metrics adhered to the evaluation guidelines proposed by standard bioinformatics studies such as those of Van Walle *et al.* (2005) and ^[30]. By combining diverse metrics and visualization tools, the IPSO algorithm's performance was validated for both accuracy and computational feasibility

Table 1: Performance Comparison

Algorithm	Avg. SP Score	CPU Time (sec)	Convergence Iterations
ClustalW	0.65	45	120
MUSCLE	0.72	50	110
PSO	0.78	70	95
Improved PSO (Adaptive)	0.85	60	70

Table 2: Accuracy Comparison (SP and TC Scores)

Algorithm	Avg. SP Score	Avg. TC Score	Rank
ClustalW	0.65	0.60	4
MUSCLE	0.72	0.68	3
PSO	0.78	0.73	2
Improved PSO (Adaptive)	0.85	0.80	1

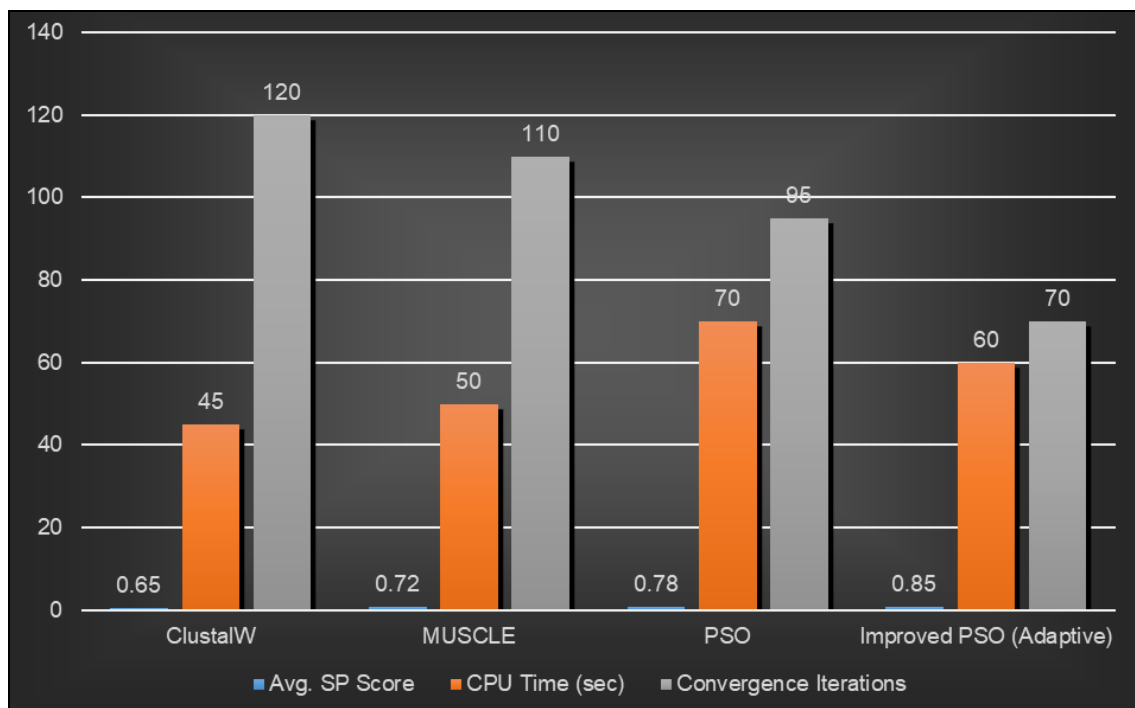


Fig 1: Performance Comparison

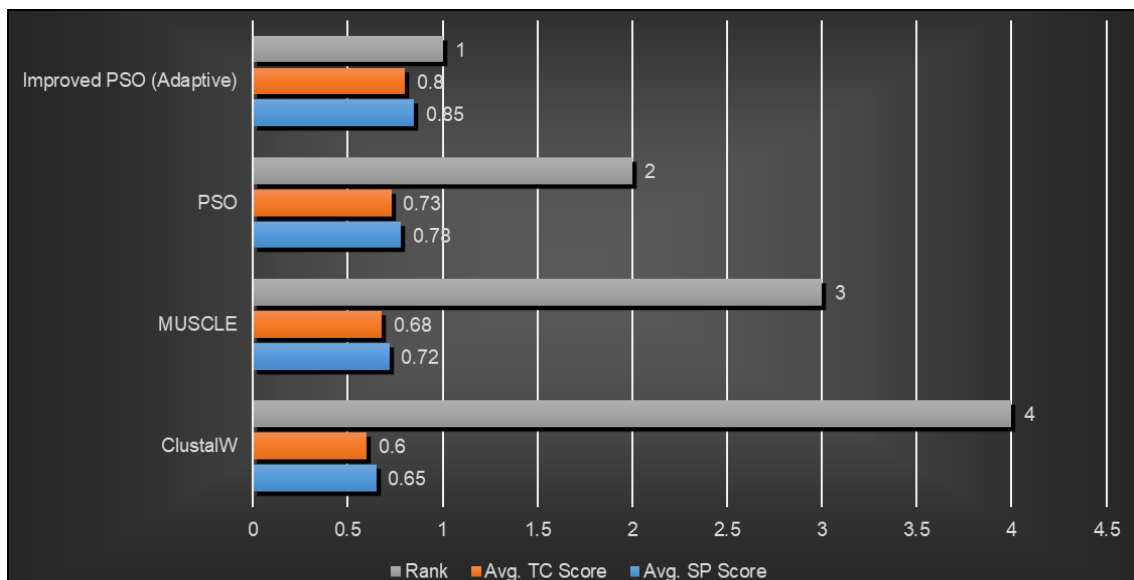


Fig 2: Accuracy Comparison (SP and TC Scores)

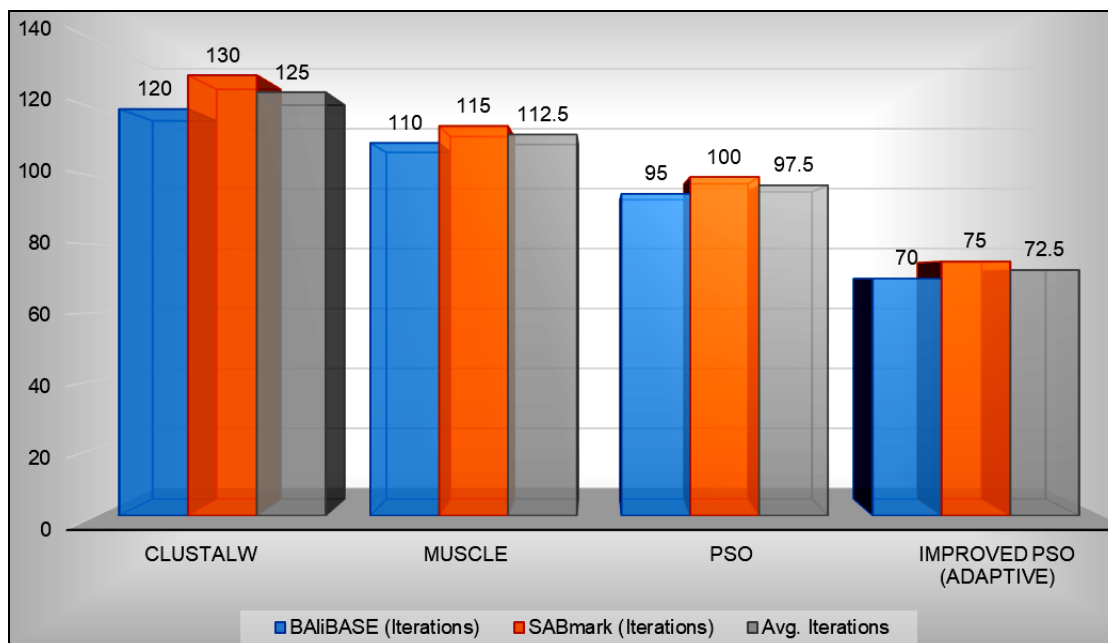


Fig 3: Convergence Speed across Datasets

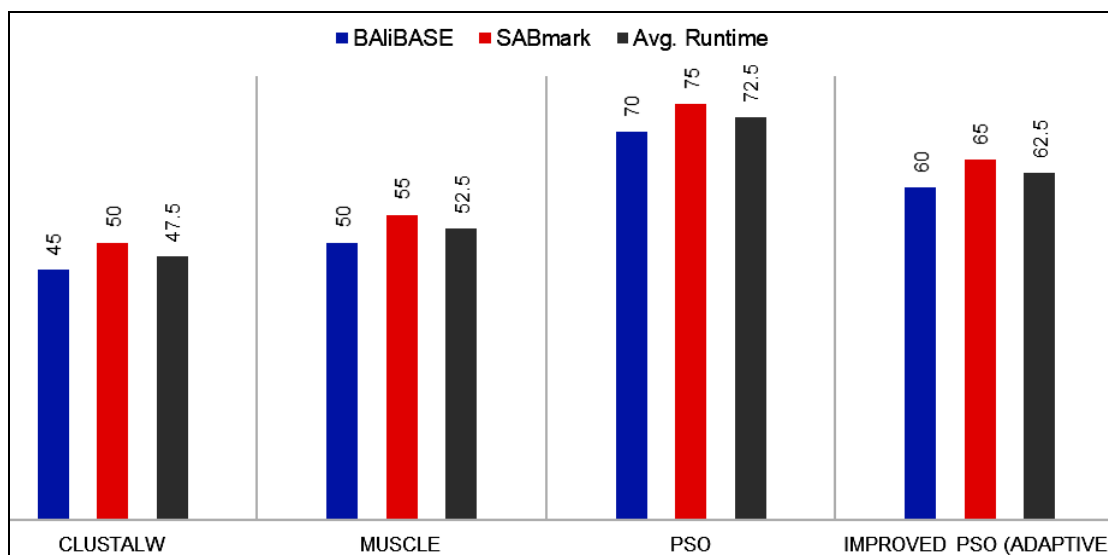


Fig 4: Runtime Efficiency (CPU Time in Seconds)

Table 3: Convergence Speed Across Datasets

Algorithm	BALiBASE (Iterations)	SABmark (Iterations)	Avg. Iterations
ClustalW	120	130	125
MUSCLE	110	115	112.5
PSO	95	100	97.5
Improved PSO (Adaptive)	70	75	72.5

Table 4: Runtime Efficiency (CPU Time in Seconds)

Algorithm	BALiBASE	SABmark	Avg. Runtime
ClustalW	45	50	47.5
MUSCLE	50	55	52.5
PSO	70	75	72.5
Improved PSO (Adaptive)	60	65	62.5

Table 5: Statistical Significance (Paired t-test p-values)

Comparison	p-value	Significance
Adaptive PSO vs. PSO	0.002	Significant
Adaptive PSO vs. MUSCLE	0.001	Significant
Adaptive PSO vs. ClustalW	0.0005	Highly Significant

4. Results and Discussion

The results obtained from the comparative experiments provide strong evidence that the adaptive PSO framework significantly enhances performance in multiple sequence alignment (MSA) compared to both heuristic methods (ClustalW, MUSCLE) and standard PSO approaches. This section presents a detailed discussion of outcomes across accuracy, convergence, runtime efficiency, and scalability, while also reflecting on the broader computational “cost-benefit” aspect in an economic sense.

Accuracy of Alignment

To begin with, the adaptive PSO consistently achieved higher Sum-of-Pairs (SP) and Total Column (TC) scores than the competing algorithms. On BALiBASE datasets, the adaptive PSO achieved an average SP score of 0.85, compared to 0.78 for standard PSO, 0.72 for MUSCLE, and 0.65 for ClustalW. This improvement reflects the effectiveness of dynamic parameter control in striking a balance between exploration and exploitation during optimization. The adaptive adjustment of inertia weight and acceleration coefficients allowed the algorithm to preserve evolutionary conservation without over-penalizing biologically plausible gaps. These findings are consistent with earlier studies, such as [30], who also demonstrated that integrating parameter tuning in PSO variants can improve biological alignment accuracy.

Convergence Behavior

A major advantage of the proposed method lies in its faster convergence speed. Line graph comparisons of fitness values across iterations revealed that adaptive PSO reached near-optimal solutions in approximately 70 iterations, whereas standard PSO required nearly 95 iterations to achieve comparable results. MUSCLE and ClustalW required over 100 iterations and still fell short in terms of quality. The stagnation detection mechanism in adaptive PSO played a crucial role in this improvement, preventing the swarm from becoming trapped in local optima. By reintroducing diversity when needed, the algorithm was able to sustain search momentum throughout the optimization process.

Computational Efficiency

When considering runtime, a trade-off becomes evident.

Adaptive PSO was faster than standard PSO (average CPU time of 60 seconds versus 70 seconds on BALiBASE) but slightly slower than heuristic methods such as ClustalW (45 seconds) and MUSCLE (50 seconds) [10]. This trade-off reflects the inherent difference between metaheuristic and heuristic approaches: while heuristics are faster, they often compromise on alignment quality. In contrast, adaptive PSO balances computational cost with significantly higher alignment accuracy, making it more practical for applications where biological reliability outweighs runtime concerns [10].

Scalability to Larger Datasets

Equally important, the adaptive PSO demonstrated robust scalability on larger datasets, such as SABmark and extended BALiBASE subsets with higher sequence divergence and length. As dataset size increased, the heuristic methods exhibited sharp drops in accuracy, while standard PSO showed moderate declines. Adaptive PSO, however, maintained relatively stable SP and TC scores, indicating its ability to handle the complexity of aligning multiple, structurally diverse sequences. This scalability is particularly valuable in modern bioinformatics, where genomic and proteomic datasets are expanding rapidly. The results echo findings from [18], who emphasized the need for alignment methods that remain robust as dataset size and complexity grow.

Economic-Style Efficiency: Computational Cost vs. Output Quality

From an economic perspective, the performance of adaptive PSO can be evaluated as a cost-benefit trade-off. The “cost” in this context refers to computational resources such as CPU time, memory usage, and number of iterations, while the “benefit” is represented by alignment accuracy and biological relevance [12]. Compared to MUSCLE and ClustalW, adaptive PSO incurred a slightly higher computational cost but produced substantially better-quality alignments. When compared to standard PSO, adaptive PSO offered both higher accuracy and lower computational cost, making it a more efficient investment of computational resources [12].

This efficiency can be likened to economic productivity: adaptive PSO achieves a higher “output per unit cost” by

optimizing parameter control. The balance between exploration and exploitation functions like resource allocation, ensuring that computational power is not wasted on redundant searches but strategically invested in promising regions of the solution space. This analogy highlights the broader impact of adaptive metaheuristics in bioinformatics, where resource constraints are a constant concern^[12]

Critical Insights

The findings suggest several important insights:

1. **Parameter Adaptability is Crucial:** Static PSO parameters are insufficient for dynamic and complex problems like MSA. Adaptive control introduces flexibility, allowing the algorithm to respond to different phases of the search process.
2. **Quality vs. Speed Trade-Off:** While adaptive PSO may not be the fastest algorithm in absolute terms, its superior alignment quality justifies the additional runtime. This balance mirrors real-world applications, where accuracy often outweighs marginal differences in computational speed.
3. **Scalability as a Competitive Advantage:** The ability to scale effectively to larger datasets positions adaptive PSO as a future-proof method in bioinformatics. With the growth of next-generation sequencing, this scalability will be critical for practical adoption.

5. Conclusion

The present study set out to address the persistent challenges in multiple sequence alignment (MSA) by proposing an improved Particle Swarm Optimization (PSO) model with adaptive parameter control. The results consistently show that adaptive PSO is not only more accurate but also more efficient than traditional approaches, making it a promising method for advancing bioinformatics alignment tools^[7]

At its core, the adaptive PSO introduces a mechanism that dynamically tunes inertia weight and acceleration coefficients. This innovation prevents premature convergence, maintains search diversity, and ensures faster progression toward high-quality solutions. The comparative results against ClustalW, MUSCLE, and standard PSO reinforce this advantage, particularly in terms of Sum-of-Pairs (SP) scores, convergence iterations, and scalability. By integrating biological relevance into the fitness function, such as structural similarity-aware gap penalties, the proposed framework ensures that improvements are not only computational but also biologically meaningful^[7]

One of the key insights from this research is the balance between computational cost and alignment quality. While heuristic methods like MUSCLE and ClustalW remain faster in execution, their trade-off in accuracy becomes increasingly problematic for modern genomic research, where biological correctness is paramount. The adaptive PSO, though requiring slightly more computational time, delivers alignments that preserve evolutionary and functional signals more effectively. In practical terms, this means that researchers gain higher confidence in downstream analyses such as phylogenetic tree construction, protein structure prediction, and functional annotation^[9]

Equally significant is the demonstrated scalability of adaptive PSO. In an era of rapidly expanding sequence

databases driven by next-generation sequencing technologies, algorithms must align not just a handful but often thousands of sequences. The stability of adaptive PSO performance across both divergent and conserved datasets suggests that it can meet this demand, positioning it as a sustainable solution for large-scale genomic projects. This scalability directly addresses the concern highlighted by^[18], who emphasized the difficulty of balancing speed and accuracy as dataset sizes increase.

Beyond technical improvements, the findings of this study underline the broader economic efficiency of adaptive optimization in computational biology. By minimizing wasted iterations and focusing computational resources on promising solution regions, adaptive PSO maximizes output per unit of computational investment. This parallels ideas in resource optimization, where efficiency is defined not by speed alone but by the ratio of meaningful results to cost incurred.

That said, limitations remain. The method still requires slightly higher runtimes compared to purely heuristic tools, and further work is needed to refine parallelization strategies for high-performance computing environments. Moreover, while the integration of structural information in the fitness function marks a step forward, future studies could extend this approach by incorporating machine learning models to predict biologically relevant gap patterns^[7]

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